

Streamlined Annual PHA Plan (High Performer PHAs)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

OMB No. 2577-0226
Expires: 02/29/2016

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

- (1) **High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.
- (2) **Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.
- (3) **Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.
- (4) **Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- (5) **Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- (6) **Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

A.	PHA Information.																				
A.1	<p>PHA Name: <u>Housing Authority of the County of Butte</u> PHA Code: <u>CA043</u></p> <p>PHA Type: <input type="checkbox"/> Small <input checked="" type="checkbox"/> High Performer</p> <p>PHA Plan for Fiscal Year Beginning: (MM/YYYY): <u>10/2017</u></p> <p>PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)</p> <p>Number of Public Housing (PH) Units <u>345</u> Number of Housing Choice Vouchers (HCVs) <u>2176</u></p> <p>Total Combined <u>2521</u></p> <p>PHA Plan Submission Type: <input checked="" type="checkbox"/> Annual Submission <input type="checkbox"/> Revised Annual Submission</p> <p>Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.</p> <p>The proposed PHA plan, PHA Plan Elements and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public at the following locations:</p> <ul style="list-style-type: none"> Housing Authority of the County of Butte, 2039 Forest Ave, Chico CA 95928 Housing Authority of the County of Butte, 850 E. Gridley Rd, Gridley CA 95948 www.butte-housing.com <p><input type="checkbox"/> PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below)</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th rowspan="2" style="width: 25%;">Participating PHAs</th> <th rowspan="2" style="width: 10%;">PHA Code</th> <th rowspan="2" style="width: 25%;">Program(s) in the Consortia</th> <th rowspan="2" style="width: 20%;">Program(s) not in the Consortia</th> <th colspan="2" style="width: 20%;">No. of Units in Each Program</th> </tr> <tr> <th style="width: 10%;">PH</th> <th style="width: 10%;">HCV</th> </tr> </thead> <tbody> <tr> <td>Lead PHA:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program		PH	HCV	Lead PHA:											
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B.	Annual Plan Elements
B.1	<p>Revision of PHA Plan Elements.</p> <p>(a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission?</p> <p>Y N</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Statement of Housing Needs and Strategy for Addressing Housing Needs.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Financial Resources.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Rent Determination.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Homeownership Programs.</p> <p><input checked="" type="checkbox"/> <input type="checkbox"/> Safety and Crime Prevention.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Pet Policy.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Substantial Deviation.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Significant Amendment/Modification</p> <p>(b) The PHA must submit its Deconcentration Policy for Field Office Review.</p> <p>(c) If the PHA answered yes for any element, describe the revisions for each element below:</p> <p>Statement of Housing Needs and Strategy for Addressing Housing Needs HACB has revised its 5-Year Plans goals and objectives; see Section B.2 of the HACB 5-Year PHA Plan.</p> <p>Safety and Crime Prevention <u>Violence Against Women Act (VAWA) Protections</u> Descriptions of activities, services and/or programs, including prevention programs, offered by the HACB, either directly or in partnership with other service providers, that help child and adult victims of domestic violence, dating violence, sexual assault, or stalking obtain or maintain housing, prevent violence, and/or enhance victim safety, including HACB's Emergency Transfer Plan are covered in the Section 8 Administrative Plan and the Public Housing Admissions and Continued Occupancy Policy (attached as Exhibits F and G). HACB procedures which are in place that assure that tenants are notified of their rights under VAWA are also included in attached documents.</p>
B.2	<p>New Activities.</p> <p>(a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?</p> <p>Y N</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Hope VI or Choice Neighborhoods.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Mixed Finance Modernization or Development.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Demolition and/or Disposition.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Conversion of Public Housing to Tenant Based Assistance.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Conversion of Public Housing to Project-Based Assistance under RAD.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Project Based Vouchers.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Units with Approved Vacancies for Modernization.</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).</p> <p>(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project based units and general locations, and describe how project basing would be consistent with the PHA Plan.</p> <p>N/A</p>

B.3 Progress Report.

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

HACB Goal: Expand the supply of assisted housing.

- Seek to expand the supply of assisted housing available to general and special needs populations.
- Apply for additional rental vouchers.
- Advocate for and aggressively pursue all federal, state, and local funding sources available for HACB use in creation of affordable housing opportunities.
- Leverage HACB assets and/or private/public funds in creation of additional housing opportunities.
- Acquire or build units or developments.

HACB Progress

- HACB initiated offer to build new affordable housing in Chico, Gridley, and Oroville.
- HACB has been working diligently in conjunction with the Veterans department to lease the additional eight (8) HUD-VASH Vouchers received from HUD.
- HACB lent \$300,000 for pre-development of Valley View Apartments. The construction of that project is now complete and units are currently being leased. The Valley View apartments are a multi-family, fourteen (14) one-bedroom unit apartments. The property is dedicated to serving those living with mental illness who are homeless or at risk of becoming homeless. It offers permanent, supportive housing, with on-site support and case management provided by Butte County Behavioral Health, and Northern Valley Catholic Social Services.

HACB Goal: Improve the quality of assisted housing.

- Assess and address administrative processes to achieve efficiency and effectiveness in program delivery, optimizing ease of use and understanding by applicants and participants.
- Improve the physical quality of public housing stock.
- Work constructively with landlords to improve housing conditions and amenities for housing available to, and used by, participants of rental assistance programs.
- Develop and maintain new and existing housing stock in accordance with sustainable building and design principles as financially feasible.
- Build supportive services capacity through partners.

HACB Progress

- HACB went through a re-organization of executive staff personnel; went from five (5) executive staff members to four (4), also added a housing inspector and maintenance worker.
- Engaged in providing support to the Butte County Housing Support Program through DESS housing inspections done by HACB housing inspectors.
- HACB initiated a Public Housing Capital Fund improvement project for planned water conservation and energy savings measures.
- HACB has a staff member participating in the local Homeless Continuum of Care (CoC).
- HACB completed the following Capital Fund Improvement projects in Public Housing:
43-10 Winston Gardens: window replacement - south facing windows, ADA path of travel and parking stall improvements; 43-13 Rhodes Terrace: front yard landscape improvements, backyard landscape and patio improvements in process. HVAC system replacements: (3) 43-03 Chico, (2) 43-01A Gridley, (1) 43-01B Gridley, (1) 43-02A Biggs. Various sites: VCT floor tile and asbestos abatement.

- FLH projects completed and in progress:
 - Unit Rehab- Phase 1A complete 12 Buildings and 19 Units
 - Unit Rehab- Phase 1B complete 8 Buildings and 13 (In Process)
- Siding replacement is in process for Chico Commons, currently in Phase III.
- Planned capital fund improvement projects for Public Housing for 2017 are energy conservations; complete water performance project, electrical in design planning. Various Sites: VCT Floor Tile and Asbestos Abatement ongoing. 43-01 Gridley: Landscape improvements erosion repairs. 43-03 Sewer Lateral pipe repair/replacements. 43-03HVAC System Replacements: Complete (7) units. 43-10 Winston Gardens: Architecture of ADA interior improvements for (3) units. 43-15 Hammon Park: Path of travel exterior improvements. 43-15 Oro Dam Retaining Wall engineering.

HACB Goal: Increase assisted housing choices.

- Continue to work with potential and participating voucher landlords through outreach and relationship building.
- Develop relations with participating voucher landlords.
- Conduct outreach to area service providers and consumer groups to inform citizenry of assisted housing opportunities.
- Identify and communicate affordable housing occupancy and develop opportunities throughout the County.
- Work to increase the County's transitional housing and "housing first" capacities, to more effectively bridge between homelessness and permanent housing.
- Seek to establish and maintain partnerships and working relationships with public, nonprofit, and for-profit entities in conception, development, and implementation of affordable housing units and programs.

HACB Progress

- HACB is continuing to outreach to landlords. Staff consistently makes phone calls and checks advertisements for vacant units.
- HACB staff participates in Program outreach through association with North Valley Property Owners Association.
- HACB supports the Butte Countywide Continuum of Care (CoC).
- HACB is also continuing to work with other social service agencies to more effectively bridge between homelessness and permanent housing.
- HACB continues to participate in the Greater Chico Area Homeless Task Force, sits on the Butte County Continuum of Care Council, attends the City of Chico Housing Trust Fund Committee, and provides contract services and administration to the City of Chico and the County of Butte Behavioral Health Department for operation of custom tenant-based local affordable housing programs.
- HACB Executive Director is President, serving the last two (2) on the Pacific Southwest Region Council of the National Association of Housing and Redevelopment Officials (PSWRC-NAHRO); networking and legislative opportunities and advancement of housing initiatives.

HACB Goal: Optimize improved living environment to enhance the lives of residents.

- Maintain safety of properties, considering site, neighborhood and community factors.
- Promote and encourage conservation, recycling, and use of recycled materials with contractors, residents, and vendors.

- Seek to optimize participant stability and self-sufficiency through delivery of supportive service programs, including education, employment development, nursing, food distribution, nutrition, and tax preparation.
- Provide comment to local jurisdiction regarding affordable housing development proposals and community development and planning policies as they affect assisted housing interests.
- Develop resident groups.

HACB Progress

- HACB utilizes private security for properties as necessary, in addition with coordinating with local law enforcement.
- Inclusion of fencing installed around senior housing project, for added security measures.
- Annually, HACB renews contracts with the City of Chico for the Tenant Based Rental Assistance (TBRA) and Lease Guarantee programs; and with the County of Butte for the Behavioral Health Housing Assistance Payment Program (BHHAP), permanent and supportive housing grants.
- HACB sponsors the non-profit Mi C.A.S.A. Education, Inc., in provision of an after-school homework program at its Gridley Farm Labor housing property in Gridley.
- HACB has partnered with IRS-VITA tax assistance program to provide tax preparation free of cost to low-income residents.
- HACB actively utilizes local food distribution services, in addition to working with local mobile library to promote literacy.
- HACB collaborates with CSUC nursing students to provide services to residents in elderly and disabled sites.
- HACB regularly provides comment to local jurisdictions.
- Annual resident council – Resident Advisory Board (RAB).

HACB Goal: Promote and secure services for Housing Authority residents and participants.

- Actively research and access available federal, state, local, and private foundation resources for the delivery and enhancement of resident services, through both HACB and Butte County Affordable Housing Development Corporation.
- Collaborate with partners who are able to expand our reach and effectiveness by providing services, education, and economic opportunities that help residents advance out of poverty.
- Assess and identify the quality of life concerns for senior, disabled, and special needs residents.
- Promote open and effective communication with HACB residents to encourage their input and involvement.
- Provide opportunities and pathways to success for youth.
- Partner with service organizations, volunteers, and students to provide low- or no- cost services to residents.
- Provide opportunities and pathways to transition into unsubsidized housing opportunities for those who are able to do so.

HACB Progress

- HACB administers fifty (50) units under the HUD Family Self Sufficiency (FSS) program on behalf of its Section 8 HCV participants. The HACB's FSS program is voluntary.
- Section 8 Housing Manager is a member of the Tenant Based Rental Assistance (TBRA) committee, responsible for assignment of rental assistance under the City of Chico's Tenant-

Based Rental Assistance (TBRA) program. Section 8 Manager also serves on the Butte County Coordinating Council (BCC) committee, coordinating delivery of homeless services to the disabled in Butte County.

- HACB utilized CSUC social work interns for the 2015-2016 school year; interns participated and lead organization of Project Homeless Connect (PHC), a one day service fair for local homeless community.
- HACB has been continuously working with Experience Works to train seniors for re-entry into workforce, in the clerical department.

HACB Goal: Ensure equal opportunity and affirmatively further fair housing.

- Implement provisions of the FHEO Voluntary Compliance Agreement.
- Undertake affirmative measures to ensure access to assisted housing regardless of age, race, ethnicity, ancestry, color, religion, national origin, sex, familial status, marital status, disability, medical condition, source of income, sexual orientation and veteran status.
- Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required.

HACB Progress

- HACB has made measurable strides addressing prioritized needs DAC report.
- Two-thirds of total clients served by HACB are elderly or disabled.
- HACB provides ongoing training to agency staff in Fair Housing and Reasonable Accommodation.
- HACB is continuing to improve website and provide more information regarding HACB's various properties.

HACB Goal: Maintain and improve interagency cooperation.

- Remain a stakeholder in the local efforts to end homelessness.
- Seek to strengthen links between HACB, the County of Butte, and other jurisdictions.
- Seek participation by services agencies that support stabilization and self-sufficiency by participants of assisted housing programs.
- Connect residents to partner agencies through information exchange.
- Provide housing assistance and demographic data and program and policy comment to municipal, county, and other agencies and entities.

HACB Progress

- Seat on the Butte County Continuum of Care Council.
- HACB has multiple contracts with Butte county and other jurisdictions to administer a variety of housing programs.
- Regularly provided demographics and comment to other agencies and entities.

HACB Goal: Maintain the Housing Authority's financial position and its ability to respond to shifting economic conditions through prudent management of limited resources.

- Control expenditures and seek other revenue sources to sustain and develop new housing opportunities and mitigate risk associated with program loss.
- Optimize internal operations for sustainability through development and implementation of green operations.
- Foster a culture of excellence and innovation in the work environment.

- In developing facilities, balance the needs of residents with the appropriate level of amenities while also maximizing the number of affordable dwelling units.
- Diversify funding sources.
- Develop a Strategic Asset Plan to account and plan for tangible asset management.

HACB Progress

- HACB maintains a balanced portfolio.
- Actively developing a paperless system.
- Currently implementing water and electric conservation measures throughout its housing portfolio.

HACB Goal: Maintain and enhance the Housing Authority's organizational strength and resiliency.

- Develop and adopt technological solutions to improve efficiency.
- Honor our staff as a key organizational asset while respecting our fiscal limitations.
- Continue to provide staff members with the tools and training to do their jobs effectively and efficiently.
- Communicate progress toward goals and objectives with Board members and staff through annual reporting.

HACB Progress

- Actively developing and implementing paperless measures:
 - Housing inspectors use tablets to complete inspections.
 - Maintenance staff next in line to start implementing use of tablets to complete work orders and daily tasks.
 - Eliminated paper use by providing board packet to the Board of Commissioners in an electronic format that is uploaded to tablets.
- Agency acknowledges longevity years of service in honor of staff.
- HACB aggressively offers and encourages training opportunities to staff members.

B.4. Most Recent Fiscal Year Audit.

(a) Were there any findings in the most recent FY Audit?

Y N
☐ ☒

(b) If yes, please describe: N/A

Other Document and/or Certification Requirements.	
C.1	<p>Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan</p> <p><u>Form 50077-ST-HCV-HP</u>, <i>Certification of Compliance with PHA Plans and Related Regulations</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p> <p>Exhibit A</p>
C.2	<p>Civil Rights Certification.</p> <p><u>Form 50077-ST-HCV-HP</u>, <i>Certification of Compliance with PHA Plans and Related Regulations</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p> <p>Exhibit B</p>
C.3	<p>Resident Advisory Board (RAB) Comments.</p> <p>(a) Did the RAB(s) provide comments to the PHA Plan?</p> <p>Y N <input checked="" type="checkbox"/> <input type="checkbox"/></p> <p>If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.</p> <p>Exhibit C</p>
C.4	<p>Certification by State or Local Officials.</p> <p><u>Form HUD 50077-SL</u>, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.</p> <p>Exhibit D</p>
D	<p>Statement of Capital Improvements. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).</p>
D.1	<p>Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD.</p> <p>See attached Exhibit E for HUD-50075.2 Capital Fund Program Five-Year Action Plan approved by HUD on March 9, 2017.</p>

EXHIBIT A

**Certifications of Compliance with
PHA Plans and Related Regulations
(Standard, Troubled, HCV-Only, and
High Performer PHAs)**

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 02/29/2016

**PHA Certifications of Compliance with the PHA Plan and Related Regulations including
Required Civil Rights Certifications**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 2017, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those programs, addressing those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.
7. For PHA Plans that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2010-25);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
12. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).

13. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
14. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
15. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
16. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
17. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
18. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
19. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the County of Butte

PHA Name

CA043

PHA Number/HA Code

☒ Annual PHA Plan for Fiscal Year 20 17

____ 5-Year PHA Plan for Fiscal Years 20 ____ - 20 ____

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).

Name of Authorized Official

Kate Anderson

Title

Board Chair

Signature

Date

June 15, 2017

EXHIBIT B

**Certifications of Compliance with
PHA Plans and Related Regulations
(Standard, Troubled, HCV-Only, and
High Performer PHAs)**

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**PHA Certifications of Compliance with the PHA Plan and Related Regulations including
Required Civil Rights Certifications**

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or ☒ Annual PHA Plan for the PHA fiscal year beginning 2017, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those programs, addressing those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.
7. For PHA Plans that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2010-25);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
12. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).

13. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
14. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
15. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
16. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
17. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
18. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
19. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the County of Butte

PHA Name

CA043

PHA Number/HA Code

☒ Annual PHA Plan for Fiscal Year 2017

☐ 5-Year PHA Plan for Fiscal Years 20__ - 20__

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).

Name of Authorized Official

Kate Anderson

Title

Board Chair

Signature

Date

June 15, 2017

EXHIBIT C

2017
HOUSING AUTHORITY OF THE COUNTY OF BUTTE (HACB)
RESIDENT ADVISORY BOARD / CAPITAL FUND PUBLIC HEARING
COMMENTS

**RESIDENT ADVISORY BOARD (RAB) / CAPITAL FUND PUBLIC HEARING MEETING
COMMENTS (April 5, 2017 meeting)**

During the RAB meeting, the following recommendations and/or comments were received from Residents:

Capital Fund

- HACB presented the proposed changes to the Capital Fund. RAB members had no comments, questions or concerns.

Public Housing

- HACB presented the proposed changes to the Public Housing Admissions and Continued Occupancy Policy. RAB members' present relayed positive feedback in regards to the "no smoking" policy implementation in public housing. They expressed interest in having that policy implemented for the Section 8 program.

Section 8

- HACB presented the proposed changes to the Section 8 Housing Choice Voucher Administrative Plan. RAB members commented positively on the legibility and user friendliness of the Section 8 Annual Recertification Packet. RAB members also had questions regarding student status and financial aid for Section 8 participants. In addition RAB members voiced concerns with regard to owner's responsibilities regarding inspections in disabled units.

45-DAY REVIEW PERIOD COMMENTS

HACB received one written comment during the review period, which was presented to the HACB Board of Commissioners on June 15th. Attached is a copy of the public comment from Legal Services of Northern California and the written response by HACB Staff to the Board of Commissioners.

June 9, 2017

MEMO

To: HACB Board of Commissioners

From: Tamra C. Young, Administrative Operations Director

Subject: Board Adoption

- 2017-18 One-Year Agency Plan
- Public Housing Admissions and Continued Occupancy Policy (ACOP)
- Section 8 Administrative Plan (Admin Plan)

As a public agency administering the HUD Public Housing and Section 8 Housing Choice Voucher (HCV) programs, HACB is required to submit to HUD applicable agency and administrative plan documents 75 days prior to the end of the HACB's fiscal year (submission due July 18th). HACB has already taken the following steps:

- April 5th – HACB conducted a Resident Advisory Board/Capital Fund Public Hearing, inviting Legal Services of Northern California and thirty-seven (37) Public Housing and Section 8 participants.
- April 20th – HACB Board of Commissions adopted draft documents, and the required 45-day review Public Comment period was opened.

ACOP

Attached is the letter received from Legal Services of Northern California during the 45-day review Public Comment period. Management's recommendations are as follows:

I. Public Housing Smoke-Free Policy

- A. There is a need for greater tenant protections in the policy – Although HACB is not utilizing LSNC wording, HACB will add language to the ACOP regarding a graduated enforcement approach. The proposed wording comes directly from HUD implementation guidance, specifically the "HUD Fact Sheet - Smoke-Free Policy Recommended Checklist". Information and resources about free cessation services will be provided at each step of the enforcement process. The right to request a reasonable accommodation has been added, clarifying that program participants complying with the no smoking policy may request reasonable accommodation(s). However per HUD guidance, smoking inside a public housing unit is not, itself, a reasonable accommodation.

- B. Residents should not be evicted if their guests smoke – Per ACOP Section 3-I.J., “The head of household is responsible for the conduct of visitors and guests, inside the unit as well as anywhere on or near PHA premises.” While smoke-free public housing is not an issue HACB would have brought forward, HUD is mandating “smoke-free public housing” and therefore all residents and their guests must adhere to the smoke-free policies. Also, separating guest behavior from that of the residents would make enforcement more problematic, if not infeasible.
- C. The HACB should establish Designated Smoking Areas (DSA’s) on the HACB’s property – The bulk of HACB’s Public Housing stock is found on scattered sites, none of which provide the required clearances necessary to establish DSA’s. At the one property where a DSA is feasible, Winston Gardens Apartments, Oroville, no tenant comment was received indicating residents wanted to see establishment of a DSA on the property.
- D. The HACB should not ban electronic nicotine delivery systems (ENDS) – HACB does not differentiate between “traditional” smoking and ENDS, and is therefore electing to ban electronic nicotine delivery systems as well, consistent with HUD intent for the initiative. Also, allowing ENDS renders enforcement more problematic, if not infeasible.

II. Denial of Admissions

LSNC did not propose language or policies related to admission criteria. However, they did request an opportunity to discuss HACB admission policies as it relates to individuals with poor credit histories and prior evictions. HACB would be happy to work with LSNC in this regard.

We value the recommendations we have received again this year from LSNC. Their feedback is an important part of the Agency Plan(s) process.

Admin Plan

Per HUD regulations regarding attachment of tenant-based Section 8 HCV rental assistance (PBV’s) to projects in which the PHA has an ownership or controlling interest, without following a competitive process, the PHA must detail in its PHA administrative plan what work it plans to do on the property or site and how many units of PBV it is planning on adding to the site. Therefore, the information outlined in the Executive Director’s memo to the Board this month, detailing proposed projects to receive PBVs without competitive process, has been incorporated into the Admin Plan.

Annual Plan

There was one comment received from Marie Demers, City of Chico, regarding the Agency Annual Plan: Valley View Apartments has fourteen (14) units not fifteen (15). Document has been corrected.

Recommendation: Hold Public Hearing. Receive and file final documents (Agency Plan, Public Housing ACOP and Section 8 Admin Plan) in accordance with the recommendations above by means of adoption of Resolution Nos. 4662 and 4663.

LEGAL SERVICES

NORTHERN CALIFORNIA

June 6, 2017

Housing Authority of the County of Butte
Board of Commissioners
2039 Forest Avenue
Chico, CA 95928

Re: ACOP and Section 8 Administrative Plan for Fiscal Year 2017-2018

Dear Board of Commissioners:

Legal Services of Northern California submits the following comments in connection with the Housing Authority of the County of Butte's (HACB) proposed Section 8 Administrative Plan ("Admin Plan") and the Admissions and Continued Occupancy Policy ("ACOP") for Fiscal Year 2017-2018.

Legal Services of Northern California ("LSNC") provides a variety of free legal services, including advice, referral, and direct legal representation to low-income individuals, families, seniors and community groups, within Butte, Colusa, Glenn, Tehama, and Plumas counties. LSNC also provides self-help materials, legal clinics, and community legal education to various communities throughout its service area.

We are submitting comments on behalf of our low-income client community on the following topics: (1) Public Housing Smoke-Free Policy; and (2) Denial of admissions. We submit these comments in the hope that they will facilitate a continued dialogue with HACB and assist the agency in administering its housing programs in a manner consistent with its mission of promoting adequate and affordable housing. We welcome meeting with HACB to respond to any questions or concerns that the agency may have with regard to our comments.

I. Public Housing Smoke-Free Policy

A. There is a Need for Greater Tenant Protections in the Policy

We fully support the HACB's decision to implement a graduated enforcement framework that includes taking progressive monitoring and enforcement actions prior to pursuing eviction for violation of smoke-free policies. Our concern however, is that the policy as written does not identify with sufficient specificity the actions that constitute a policy violation and it is unclear when disciplinary actions will be taken. Eviction should be the absolute, last resort to enforce the smoking ban and legal action should

Butte Regional Office:
Office Location: 541 Normal Avenue, Chico, CA 95927
Mailing Address: P.O. Box 3728 Chico, CA 95927
P: 530.345.9491
F: 530.345.6913
www.lsnc.net

A Legal Services Corporation Program



only be taken when all other means fail and the tenant is not entitled to a reasonable accommodation. The HACB's policy should be amended to require that the HACB give at least three warnings to a tenant before smoking is considered a lease violation. The proposed policy could read:

A violation under this section will only be considered a lease violation after tenant has been provided with at least three (3) notices, in writing, of the alleged violation. Each notice will contain (1) an opportunity to cure the alleged violation, (2) information and resources about free cessation services offered in a language appropriate for the tenant, and (3) the right to request a reasonable accommodation. Under no circumstances will a violation of this section be considered a material or serious violation of the lease unless and until the tenant has received the required warnings.

B. Residents Should Not Be Evicted if Their Guests Smoke

The HACB should clarify in its policy that the smoking ban will not be enforced if the alleged violation was due to smoking by a tenant's guest. Under the proposed policy, any person smoking in a public housing unit could lead to an eviction. Evicting residents due to a smoking guest does not further HACB's goal of positive health outcomes among public housing residents. At most, the HACB should only serve written warnings to tenants whose guests smoke, but the HACB should not evict tenants whose guests smoke.

C. The HACB Should Establish Designated Smoking Areas on the HACB's Property

The HACB should designate a safe and protected smoking area on its property. The space should be accessible so that people are not discouraged to use it. A designated outdoor smoking area will help tenants succeed with the no-smoking policy while alleviating the safety concerns involved in having to leave the property to smoke, a problem faced by all tenants but especially seniors and people with disabilities.

D. The HACB Should Not Ban Electronic Nicotine Delivery Systems

Electronic Nicotine Delivery Systems (ENDS) such as e-cigarettes, do not present the same risk of catastrophic fires as lit tobacco products because they do not require the use of a flame. Banning ENDS will therefore not help achieve HUD's stated goals to improve indoor air quality in public housing, reduce the risk of catastrophic fires, and lower overall maintenance costs. If e-cigarettes are found to be effective tobacco cessation aids, or even useful as a harm-reduction intervention, including them in the HACB's smoke-free policy would significantly inhibit public housing residents' ability to quit smoking. E-cigarettes can present a cheaper option for many public housing residents, and could be used as an affordable cessation tool, if future studies support their efficacy. E-cigarettes reduce the effects of second-hand smoke and therefore may contribute to improved health among public housing residents. E-cigarettes may also help avoid evictions.

II. Denial of Admissions

Several provisions in Chapter 3, Part III of both the ACOP and the Admin Plan, address denial of admission/assistance to applicants based on past history (such as criminal history, previous rental housing history, and past credit history). Unfortunately, many of these admissions policies can be a barriers for vulnerable populations, including people who are homeless, to accessing the HACB programs. Strict policies related to criminal backgrounds and previous rental housing history can have the effect of screening out the most vulnerable people experiencing homelessness who are more likely to have past convictions, past evictions, or previous debts, due to a variety of reasons, including mental illness and substance use disorders.


We applaud the changes that the HACB has made in its admissions policies as it relates to individual with past criminal histories and would like to now begin a similar discussion as it relates to individuals with poor credit histories and prior evictions.

There is nothing in the current policies relating to what constitutes a poor credit report, the weight to give to a poor credit report and the ages of the debts to be considered. In addition, mitigating circumstances for a poor credit history or prior evictions should be anticipated and examples listed to provide guidance to both applicants and to staff on the facts and circumstances that the HACB will consider when deciding to deny assistance to an applicant based on poor credit history or prior evictions.

At this time, we are not proposing specific language or specific policies but rather we would like this to be the beginning of the discussion with the HACB. We look forward to future opportunities to discuss this topic in greater depth.

In conclusion, thank you for the opportunity to submit these comments. We are happy to discuss these issues with you further if you have any questions or concerns.

Sincerely,
LEGAL SERVICES OF NORTHERN CALIFORNIA



Jennifer Haffner
Managing Attorney

EXHIBIT D

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan or
State Consolidated Plan
(All PHAs)**

U. S Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 2/29/2016

**Certification by State or Local Official of PHA Plans
Consistency with the Consolidated Plan or State Consolidated Plan**

I, Jennifer Seeger, the Assistant Deputy Director
Official's Name *Official's Title*

certify that the 5-Year PHA Plan and/or Annual PHA Plan of the
Housing Authority of the County of Butte

PHA Name

is consistent with the Consolidated Plan or State Consolidated Plan and the Analysis of
Impediments (AI) to Fair Housing Choice of the
**State of California – Business, Transportation and Housing Agency Department of Housing
and Community Development, Division of Housing Policy Development**

Local Jurisdiction Name

pursuant to 24 CFR Part 91.

Provide a description of how the PHA Plan is consistent with the Consolidated Plan or State
Consolidated Plan and the AI.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official
Jennifer Seeger

Title
Assistant Deputy Director

Signature

Date

5/3/17

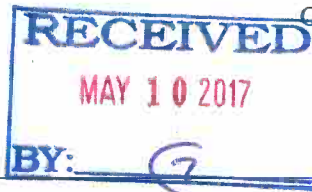
**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan or
State Consolidated Plan
(All PHAs)**

U. S Department of Housing and Urban Development

Office of Public and Indian Housing

OMB No. 2577-0226

Expires 2/29/2016



**Certification by State or Local Official of PHA Plans
Consistency with the Consolidated Plan or State Consolidated Plan**

I, Donald Rust, the Acting City Administrator
Official's Name *Official's Title*

certify that the 5-Year PHA Plan and/or Annual PHA Plan of the
Housing Authority of the County of Butte

PHA Name

is consistent with the Consolidated Plan or State Consolidated Plan and the Analysis of
Impediments (AI) to Fair Housing Choice of the
City of Oroville

Local Jurisdiction Name

pursuant to 24 CFR Part 91.

Provide a description of how the PHA Plan is consistent with the Consolidated Plan or State
Consolidated Plan and the AI.

There are many areas where the PHA plan is consistent
with the State Consolidated Plan that include, but not limited to
the need to increase the supply of affordable housing at all
income levels, promote housing opportunities for persons with
disabilities and special needs.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official Donald Rust	Title Acting City Administrator
Signature 	Date 05.05.17

**Certification by State or Local
Official of PHA Plans Consistency
with the Consolidated Plan or
State Consolidated Plan
(All PHAs)**

U. S Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 2/29/2016

**Certification by State or Local Official of PHA Plans
Consistency with the Consolidated Plan or State Consolidated Plan**

I, Mark Orme, the City Manager
Official's Name *Official's Title*

certify that the 5-Year PHA Plan and/or Annual PHA Plan of the
Housing Authority of the County of Butte

PHA Name

is consistent with the Consolidated Plan or State Consolidated Plan and the Analysis of
Impediments (AI) to Fair Housing Choice of the
City of Chico

Local Jurisdiction Name

pursuant to 24 CFR Part 91.

Provide a description of how the PHA Plan is consistent with the Consolidated Plan or State
Consolidated Plan and the AI. The PHA Plan is consistent with the City's

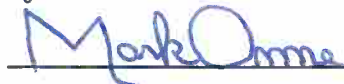
Consolidated Plan in its goals to expand the supply of assisted housing, to increase
choices and to work in collaboration with the City's goals.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official
Mark Orme

Title
City Manager

Signature



Date

5/8/17

EXHIBIT E

Capital Fund Program—Five-Year Action Plan

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Expires 8/30/2011

Part I: Summary						
PHA Name/Number		Locality (City/County & State)		<input checked="" type="checkbox"/> Original 5- year plan <input type="checkbox"/> Revision No: ____		
CA043 Housing Authority of the County of Butte		Butte County, CA				
A:	Development Number and Name	Work Statement for Year 1 FFY <u>2017</u>	Work Statement for Year 2 FFY <u>2018</u>	Work Statement for Year 3 FFY <u>2019</u>	Work Statement for Year 4 FFY <u>2020</u>	Work Statement for Year 5 FFY <u>2021</u>
B.	Physical Improvements Subtotal	Annual Statement	426,884	396,884	384,009	409,009
C:	Management Improvements		0	0	0	0
D:	PHA-Wide Non-dwelling Structures and Equipment					
E.	Administration		55,750	55,750	55,750	55,750
F.	Others: Fees and Costs,Audit		47,000	77,000	62,000	37,000
G:	Operations		27,875	27,875	55,750	55,750
H:	Demolition					
I	Development					
J.	Capital Fund Financing-Debt Service					
K.	Total CFP Funds		557,509	557,509	557,509	557,509
L.	Total Non-CFP Funds					
M.	Grand Total		557,509	557,509	557,509	557,509

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-18 PHA FY: 2018			Activities for Year 3 FFY Grant: CA 30 PO 43501-19 PHA FY: 2019		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-1A, 1B, 4			CA 043-1A, 1B, 4		
	A: Landscaping Rehab and Tree Work	2	5,000	A: Roof/Fascia Rehab	4	10,000
	B: Upgrade HVAC systems	2	5,000	B: Landscaping Rehab and Tree Work	1	5,000
	C: Replace VCT Floors / Abatement	2	8,484	C: Replace VCT Floors / Abatement	2	12,000
	D: Energy Conservation	70	35,000	D: Sewer Lateral Replacements As Needed	10	10,000
	E: Appliance Repl.	20	12,000	E: Appliance Repl.	10	6,000
	CA 043-2A & 2B			CA 043-2A & 2B		
	A: Landscaping Rehab and Tree Work	2	5,000	A: Window Replacements	4	2,500
	B: Upgrade HVAC Systems	1	5,000	B: Appliance Repl.	20	12,000
	C: Replace VCT Floors / Abatement	1	6,000	C: Replace VCT Floors / Abatement	2	8,484
	D: Energy Conservation	10	5,000	D: Sewer Lateral Replacements As Needed	10	10,000
	E: Replace Porch/ Sidewalk/Ada Upgrade	2	5,000			
	CA 043-3			CA 043-3		
	A: Upgrade HVAC systems	1	5,000	A: Upgrade HVAC Systems	1	5,000
	B: Energy Conservation Measures	82	41,000	B: Landscaping Rehab and Tree Work	2	5,000
	C: Landscaping Rehab and Tree Work	1	2,500	C: Window Replacements	4	2,500
	D: Replace VCT Floors / Abatement	1	6,000	D: Replace VCT Floors / Abatement	2	12,000
	E: Sewer Lateral Replacement as needed	10	10,000	E: Replace Porch/ Sidewalk/Ada Upgrade	2	12,000
	CA 043-10			F: Cabinet Replacement	1	8,000
	A: Landscaping Rehab and Tree Work	1	5,000	CA 043-10		
	B: Energy Conservation Measures	60	30,000	A: Bathroom Upgrade	3	14,000
	C: ADA rehab	1	30,000	B: Under Slab Water Pipe Replacement	2	4,000
	D: Cabinet Replacement	3	15,000	C: Interior/Exterior Lighting Replacement	1	10,000
	E: Under Slab Water Pipe Replacement	2	1,000	D: Appliance Repl.	20	12,000
	F: Roof Repl./Fascia/Gutters/Downspouts	1	2,500	E: Replace VCT Floors	2	6,000
	G: Repair/Replace Stucco/Paint Walls	25	5,000			
	CA 043-13			CA 043-13		
	A: Replacement Windows	4	2,500	A: Exterior Painting	1	22,000
	B: Landscaping Rehab and Tree Work	2	5,000	B: Replace VCT Floors	2	6,000
	C: Energy Conservation Measures	45	22,500	C: Cabinet Replacement	1	8,000
	D: Resurface Roads	1	25,000	D: Appliance Repl.	10	6,000
	Sub Total of Estimated Cost		299,484	Sub Total of Estimated Cost		208,484

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 2 FFY Grant: CA 30 PO 43501-18 PHA FY: 2018			Activities for Year 3 FFY Grant: CA 30 PO 43501-19 PHA FY: 2019			
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	CA 043-14			CA 043-14			
	A: Exterior Painting	4	8,000	A: Fencing Back Yards	10	7,500	
	B: Landscaping Rehab and Tree Work	1	5,000	B: Pre Fab skid type Storage Sheds	5	10,000	
	C: Replace VCT Floors	1	3,000	C: Roof Repl./Fascia/Gutters/Downspouts	1	7,000	
	D: Cabinet Replacement	1	8,000	D; Upgrade ADA Units	2	12,000	
	E: Window Replacement	6	6,400	E: Window Replacement	4	6,000	
	F: Energy Conservation Measures	20	10,000	F: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000	
	CA 043-15			CA 043-15			
	A: Landscaping Rehab and Tree Work	1	5,000	A: Landscaping Rehab and Tree Work	1	5,000	
	B: Re-Surface Roads (Paving and Seal)	1	12,000	B: Exterior Painting	1	4,000	
	C: Replace Water Heaters	10	12,000	C: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000	
	D: Replace VCT Floors	2	5,000	D: Roof Repl./Fascia/Gutters/Downspouts	1	12,000	
	E: Cabinet Replacement	2	16,000	E: Retaining Wall Oro Dam	1	108,900	
	F: Upgrade ADA Units	1	12,000				
	G: Energy Conservation Measures	50	25,000				
	HA-WIDE			HA-WIDE			
	A: Management		0	A: Manangement		0	
	B: Administration	1	55,750	B: Administration	1	55,750	
	C: Others: Environmental review		0	C: Others: Environmental review	1	30,000	
	D: Others: Energy Audit		0	D: Ohters: Energy Audit	1	10,000	
	E: Others Architecture and Engineering	1	45,000	E: Others Architecture and Engineering	1	35,000	
	F: Others: Audit	1	2,000	F: Others: Audit	1	2,000	
	G: Others CNA/PNA		0	G: Others: CNA: PNA		0	
	H: Operations	1	27,875	H: Operations	1	27,875	
Sub Total of Estimated Cost			258,025	Sub Total of Estimated Cost			349,025

Capital Fund Program Five-Year Action Plan
Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-20 PHA FY: 2020			Activities for Year 5 FFY Grant: CA 30 PO 43501-21 PHA FY: 2021		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-1A, 1B, 4			CA 043-1A, 1B, 4		
	A: New Water Heaters	10	9,000	A: Landscaping Rehab and Tree Work	1	5,000
	B: Landscaping Rehab and Tree Work	2	10,000	B: Replace VCT Floors / Abatement	2	12,000
	C: Replace VCT Floors / Abatement	2	12,000	C: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000
	D: ADA upgrades	2	30,000	D: Roof Repl./Fascia/Gutters/Downspouts	2	8,000
	E: Pre Fab skid type Storage Sheds	5	10,000	E: Window Replacements	4	6,000
	F: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000	CA 043-2A & 2B		
	CA 043-2A & 2B			A: Landscaping Rehab and Tree Work	1	5,000
	A: New Water Heaters	20	13,500	B: Exterior Lighting Replacement	10	1,000
	B: Dishwashers / Garbage Disposals	20	12,000	C: Exterior Painting	10	8,759
	C: Replace VCT Floors / Abatement	5	25,000	D: Replace VCT Floors / Abatement	2	12,000
	D: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000	E: Pre Fab skid type Storage Sheds	5	10,000
	CA 043-3			F: Roof Repl./Fascia/Gutters/Downspouts		
	A: Storage & H2O Room Door & Vent	10	12,000	CA 043-3		
	A: Landscaping Rehab and Tree Work	1	31,259	A: HVAC Upgrade	1	5,000
	B: Replace VCT Floors / Abatement	2	12,000	B: Exterior Lighting	82	12,000
	C: Pre Fab skid type Storage Sheds	10	10,000	C: Replace VCT Floors / Abatement	1	6,000
	D: New Water Heaters	10	10,000	D: Roof Repl./Fascia/Gutters/Downspouts	2	12,000
	CA 043-10			CA 043-10		
	A: Modify Kitchen Lighting	30	6,000	A: Small Roof mounted P.V.	1	25,000
	B: Dishwashers / Garbage Disposals	10	12,000	B: Upgrade ADA Units	1	30,000
	C: Under Slab Water Pipe Replacement	2	4,000	C: Under Slab Water Pipe Replacement	2	4,000
	D: Roof Repl./Fascia/Gutters/Downspouts	2	5,000	D: Upgrade HVAC systems	1	5,000
	E: Resurface Roads	1	6,000	CA 043-13		
	CA 043-13			A: Install Porches and Sidewalks	2	8,000
	A: Replace Porches and Sidewalks	2	18,000	B: Landscaping Rehab and Tree Work	30	1,500
	B: Landscaping Rehab and Tree Work	1	5,000	C: Install Cloth lines	30	6,000
	C: Install Cloth Lines	15	6,000	D: Install Ceiling Fans	30	3,000
	D: Install Ceiling Fans	30	3,750	E: Install Screen Doors	30	6,000
	E: Install Screen Doors	45	9,500	F: Exterior Lighting Replacement	30	15,000
	F: Upgrade ADA Units	2	12,000	G Exterior Painting	1	12,000
	G: Upgrade HVAC systems	1	5,000	H: Window Replacement	4	6,000
				I: Roof Repl./Fascia/Gutters/Downspouts	2	12,000
	Sub Total of Estimated Cost		305,009	Sub Total of Estimated Cost		244,259

Capital Fund Program Five-Year Action Plan

Part 1: Summary

Activities for Year 1	Activities for Year 4 FFY Grant: CA 30 PO 43501-19 PHA FY: 2020			Activities for Year 5 FFY Grant: CA 30 PO 43501-20 PHA FY: 2021		
	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name/General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	CA 043-14			CA 043-14		
	A: Landscaping Rehab and Tree Work	1	5,000	A: Install Speed Bumps	30	9,500
	B: Exterior Painting	10	13,000	B: Resurface Roads	1	15,000
	C: Dishwashers / Garbage Disposals	20	15,000	C: New Roofs	5	28,750
	D: Upgrade HVAC systems	2	6,000	D: Appliance Repl.	10	6,000
	E: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000			
	CA 043-15			CA 043-15		
	A: Appliance Repl.	20	12,000	A: Resurface Roads	1	15,000
	B: Tile Floor Replacement	2	6,000	B: Speed Bumps	1	12,000
	C: Window Replacements	4	6,000	C: New Roofs	1	25,000
	D: Replace Porch/ Sidewalk/Ada Upgrade	2	8,000	D: Exterior Painting	25	42,500
				E: Upgrade HVAC systems	1	5,000
				F: Appliance Repl.	10	6,000
	HA-WIDE			HA-WIDE		
	A: Management Improvments	1	0	A: Management Improvments	1	0
	B: Administration	1	55,750	B: Administration	1	55,750
	C: Others: Environmental review		0	C: Others: Environmental review		0
	D: Others: Energy Audit		0	D: Others: Energy Audit		0
	E: Others Architecture and Engineering	1	35,000	E: Others Architecture and Engineering	1	35,000
	F: Others: Audit	1	2,000	F: Others: Audit	1	2,000
	G: Others: CNA/PNA	1	25,000	G: Others: CNA/PNA		0
H: Operations	1	55,750	H: Operations	1	55,750	
Sub Total of Estimated Cost			252,500	Sub Total of Estimated Cost 313,250		

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 06/30/2017

Part I: Summary					
PHA Name: Housing Authority of the County of Butte		Grant Type and Number Capital Fund Program Grant No: (Estimated) Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2017 FFY of Grant Approval: 2017
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 2017 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³	20,000.00			
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)	55,750.00			
5	1411 Audit	2,000.00			
6	1415 Liquidated Damages				
7	1430 Fees and Costs	35,698.00			
8	1440 Site Acquisition				
9	1450 Site Improvement	60,000.00			
10	1460 Dwelling Structures	347,261.00			
11	1465.1 Dwelling Equipment—Nonexpendable	36,800.00			
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹ To be completed for the Performance and Evaluation Report.

² To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³ PHAs with under 250 units in management may use 100% of CFP Grants for operations.

⁴ RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
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Part I: Summary					
PHA Name: Housing Authority of the County of Butte		Grant Type and Number Capital Fund Program Grant No: (Estimate) Replacement Housing Factor Grant No: Date of CFFP:			FFY of Grant: 2017 FFY of Grant Approval: 2017
Type of Grant <input checked="checked" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: 2017 <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost ¹	
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant:: (sum of lines 2 - 19)	557,509.00			
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director _____		Date _____		Signature of Public Housing Director _____	
				Date _____	

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 Capital Fund Program, Capital Fund Program Replacement Housing Factor and
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U.S. Department of Housing and Urban Development
 Office of Public and Indian Housing
 OMB No. 2577-0226
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Part II: Supporting Pages								
PHA Name: Housing Authority of the County of Butte			Grant Type and Number Capital Fund Program Grant No: (Estimate) CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2017		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
CA43-1A,	A: VCT Tile Replacement	1460	2	12,000.00				
1B & 4	B: Site Work/ Landscaping/ ADA	1450	1	8,000.00				
	C: Energy Efficiency Upgrades	1460	1	13,780.00				
	D: Appliance Upgrade	1465	20	6,000.00				
	E: Upgrade HVAC	1465	2	10,000.00				
CA43-3	A: VCT Tile Replacements	1460	9	17,499.00				
	B: Site Work / Landscaping / ADA	1450	1	21,400.00				
	C: ADA Upgrades	1460	3	13,500.00				
	D: Energy Efficiency Upgrades	1460	1	61,000.00				
	E: Appliance Upgrade	1465	82	45,000.00				
	F: Sewer Lateral Replacement	1450	82	85,182.00				
CA43-2A	A: Site Work / Landscaping / ADA	1450	3	3,500.00				
&2B	B: VCT Tile Replacement	1460	2	12,000.00				
	C: ADA Upgrades	1460	1	5,000.00				
	D: Energy Efficiency Upgrades	1460	1	8,000.00				
	E: Appliance Upgrade	1465	1	2,000.00				
	F: HVAC Upgrade	1465	2	10,000.00				
CA43-10	A: Site Work / Landscaping/ ADA	1450	1	14,500.00				
	B: VCT Tile Replacement	1460	6	2,500.00				

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Part II: Supporting Pages								
PHA Name: Housing Authority of the County of Butte			Grant Type and Number Capital Fund Program Grant No: (Estimate) CFFP (Yes/ No): NO Replacement Housing Factor Grant No:			Federal FFY of Grant: 2017		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
CA43-10 (Cont.)	C: Energy Efficiency Upgrades	1460	1	17,500.00				
	D: Appliance Upgrade	1465	40	4,000.00				
CA43-13	A: VCT Tile Replacement	1460	3	3,000.00				
	B: Site Work / Landscaping / ADA	1450	1	2,500.00				
	C: Energy Efficiency Upgrades	1460	2	12,100.00				
	D: Appliance Upgrade	1465	1	4,000.00				
CA43-14	A: Site Work/ Landscaping / ADA	1450	1	5,600.00				
	B: VCT Tile Replacement	1460	1	2,000.00				
	C: Energy Efficiency Upgrades	1460	1	13,250.00				
	D:Appliance Upgrade	1465	1	4,000.00				
CA43-15	A: VCT Tile Replacement	1460	1	3,000.00				
	B: Site Work / Landscaping/ ADA	1450	1	4,500.00				
	C: Appliance Upgrade	1465	4	4,000.00				
	D: Energy Efficiency Upgrades	1460	1	13,750.00				

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[illegible]

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